

STATISTICAL CONVERGENCE OF SEQUENCES OF SUBSPACES

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ABSTRACT. In this study, we will give the definition of statistical convergence of a sequences of subspaces of a k -dimensional inner product space and examine some inclusion relations.

1. INTRODUCTION AND PRELIMINARIES

Let F be the field reals or complex numbers and X be a vector space over F . An inner product on X is a function $\langle, \rangle: X \times X \rightarrow F$ such that

- (1) $\langle ax + by, z \rangle = a \langle x, z \rangle + b \langle y, z \rangle$, for $a, b \in F$ and $x, y, z \in X$
- (2) $\langle x, y \rangle = \overline{\langle y, x \rangle}$ for $x, y \in X$
- (3) $\langle x, x \rangle \geq 0$ for $x \in X$
- (4) $\langle x, x \rangle = 0$ if and only if $x = 0$.

Recall that every real number $x \in \mathbb{R}$ equals its complex conjugate. Hence for real vector spaces the condition (2) becomes $\langle x, y \rangle = \langle y, x \rangle$ for $x, y \in X$.

A norm can be defined from an inner product via $\|x\| = \sqrt{\langle x, x \rangle}$ for all $x \in X$. Let X be a inner product space over F . Let V be a subspace of X and $x \in X$. An element $v_0 \in V$ is said to be a *best approximation* to x or *nearest* to x in X , if $\|x - v_0\| \leq \|x - v\|$ for all $v \in V$. Suppose V is finite dimensional and $\{v_1, v_2, \dots, v_k\}$ is an orthonormal basis of V . Then

$$v_0 = \sum_{i=1}^k \langle v, v_i \rangle v_i$$

is the best approximation to v in V . Let $(X, \langle, \rangle)$ be an inner product space. Let V be a subspace of inner product space X and $\{v_1, v_2, \dots, v_k\}$ be an orthonormal basis for V . The ortogonal projection P_V from X onto the subspace V is defined by

$$P_V u = \sum_{i=1}^k \langle u, v_i \rangle v_i$$

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for every $u \in X$.

For example, let $X = \mathbb{R}^3$ and $V = \{(x_1, x_2, 0) : x_1, x_2 \in \mathbb{R}\}$ be the x_1x_2 -plane in $\mathbb{R}^3$. Choose an arbitrary point $x = (x_1, x_2, x_3) \in \mathbb{R}^3$. Ortogonal projection of x onto V is $P_Vx = (x_1, x_2, 0)$. In order to show this, choose an arbitrary point $v = (v_1, v_2, v_3) \in V$. Then $x - v = (x_1 - v_1, x_2 - v_2, x_3)$ and $x - P_Vx = (0, 0, x_3)$. So

$$\|x - v\|^2 = |x_1 - v_1|^2 + |x_2 - v_2|^2 + |x_3|^2 \geq |x_3|^2 = \|x - P_Vx\|^2.$$

Thus P_Vx is closer x than v , so P_Vx is the ortogonal projection. If we take $\{e_1, e_2, e_3\}$ as the standart basis of $\mathbb{R}^3$, then above example tells us the ortogonal projection of $x = (x_1, x_2, x_3) = x_1e_1 + x_2e_2 + x_3e_3$ onto $V = \text{span}\{e_1, e_2\}$ is $P_Vx = x_1e_1 + x_2e_2 = (x_1, x_2, 0)$. As a second example, let $X = \mathbb{R}^3$ and V be subspace of $\mathbb{R}^3$ spanned by the vectors $(1, 1, 1)$ and $(1, -2, 1)$. Then

$$\begin{aligned} P_Vx &= \frac{x_1 + x_2 + x_3}{3}(1, 1, 1) + \frac{x_1 - 2x_2 + x_3}{6}(1, -2, 1) \\ &= \left(\frac{x_1 + x_3}{2}, x_2, \frac{x_1 + x_3}{2}\right). \end{aligned}$$

(For more information on this topic, see [4],[9]).

Distance or gap between two subspaces U and V of X is defined by

$$\begin{aligned} d(U, V) &= \sup\{\inf\{\|u - v\| : v \in V\} : u \in U, \|u\| = 1\} \\ &= \sup\{\|u - P_Vu\| : u \in U, \|u\| = 1\} \\ &= \sup_{u \in U, \|u\|=1} \|u - P_Vu\|. \end{aligned}$$

Given an inner product space $(X, \langle, \rangle)$ of dimension k or higher (may be infinite). Let U_n and V be k -dimensional subspaces of X for every $n \in \mathbb{N}$. Throughout the paper, it is supposed that $U_n = \text{span}\{u_{1n}, u_{2n}, \dots, u_{kn}\}$ and $V = \text{span}\{v_1, v_2, \dots, v_k\}$ be k -dimensional subspaces of X , where $\{u_{1n}, u_{2n}, \dots, u_{kn}\}$ and $\{v_1, v_2, \dots, v_k\}$ are orthonormal for every $n \in \mathbb{N}$.

Convergence definition for sequence of subspaces was given in [10] as follows:

Let U_n and V be k -dimensional subspaces of X for $n = 1, 2, 3, \dots$. A sequence (U_n) of subspaces of X is said to be convergent to the subspace V if

$$\lim_{n \rightarrow \infty} \sup_{u_n \in U_n, \|u_n\|=1} \|u_n - P_Vu_n\| = 0,$$

Also the authors of [10] proved the following theorem that gives a condition equivalent to that in the definition of convergence.

Theorem 1.1. *A sequence (U_n) of subspaces is convergent to the subspace V if and only if*

$$\lim_{n \rightarrow \infty} \|u_{i_n} - P_Vu_{i_n}\| = 0$$

for every $i = 1, 2, \dots, k$ where

$$P_Vu_{i_n} = \sum_{j=1}^k \langle u_{i_n}, v_j \rangle v_j.$$

For example, consider a three-dimensional space X with orthonormal basis vectors e_1, e_2, e_3 . U_n be one-dimensional subspace spanned by the vector

$$e_1 + \frac{1}{n} \sin ne_2 + \frac{1}{n} \cos ne_3.$$

Then the sequence (U_n) converges to the subspace V , spanned by the vector e_1 . If W_n is an one-dimensional subspace spanned by the vector

$$\sin ne_2 + \cos ne_3$$

then the sequence (W_n) is not convergent.

In most convergence theories it is advantages to have a criterion that verify convergence without using the value of the limit. For this goal we will introduce a Cauchy convergence criterion.

Definition 1.2. Let U_n be k -dimensional subspaces of X for $n = 1, 2, 3, \dots$. A sequence (U_n) of subspaces of X is said to be Cauchy sequence if

$$\sup_{u_n \in U_n, \|u_n\|=1} \|u_n - P_{U_m} u_n\| < \epsilon$$

for every $m, n > n_0 \in \mathbb{N}$.

We can give another definition for Cauchy sequence equivalent to the Definition 1.1.

Definition 1.3. A sequence (U_n) of subspaces is convergent to the subspace V if and only if

$$\|u_{i_n} - P_{U_m} u_{i_n}\| < \epsilon$$

for every $i = 1, 2, \dots, k$ and $m, n > n_0 \in \mathbb{N}$.

2. STATISTICAL CONVERGENCE

In this section, we will give the definition of statistical convergence of a sequences of subspaces of a k -dimensional inner product space and examine some inclusion relations.

Let $A \subseteq \mathbb{N}$. Define

$$\underline{\delta}(A) = \liminf_{m \rightarrow \infty} \frac{|A \cap \{1, 2, 3, \dots, m\}|}{m} \quad \text{and} \quad \bar{\delta}(A) = \limsup_{m \rightarrow \infty} \frac{|A \cap \{1, 2, 3, \dots, m\}|}{m}.$$

We call $\underline{\delta}$ and $\bar{\delta}$ the lower and upper asymptotic densities, respectively. If $\underline{\delta}(A) = \bar{\delta}(A)$, the common value is referred as asymptotic density and denoted by $\delta(A)$. If (x_n) is a sequence such that x_n satisfies property P for all n except a set of asymptotic density zero, then we say that x_n satisfies P for "almost all n ", and we abbreviate this by "*a.a. n.*" A sequence (x_n) of real or complex numbers is said to be statistically convergent to the number a if for every $\epsilon > 0$,

$$\delta(\{k : |x_k - a| \geq \epsilon\}) = 0.$$

A statistically convergent sequence may be bounded or unbounded. For example, define $x_k = k$ if k is a square and $x_k = 0$ otherwise. Then

$$|\{k \leq n : |x_k - 0| > \epsilon\}| \leq \sqrt{n},$$

so $st - \lim x_k = 0$.

The idea of statistical convergence was introduced by Steinhaus in [13] and Fast in [6] and since then has been studied by other authors including [2],[3],[7],[8], [11] and [13] and . Over the years and under different names, statistical convergence has been discussed in the mathematical analysis.

Definition 2.1. A sequence (U_n) of subspaces is said to be statistically convergent to the subspace V if for every $\epsilon > 0$,

$$\lim_{m \rightarrow \infty} \frac{1}{m} \left| \left\{ n \leq m : \sup_{u_n \in U_n, \|u_n\|=1} \|u_n - P_V u_n\| > \epsilon \right\} \right| = 0$$

i.e.,

$$\sup_{u_n \in U_n, \|u_n\|=1} \|u_n - P_V u_n\| < \epsilon$$

a.a. n.

In this case we write

$$st - \lim U_n = V.$$

Another definition equivalent to this definition is given below:

Definition 2.2. A sequence (U_n) of subspaces is said to be statistically convergent to the subspace V if for every $\epsilon > 0$,

$$\lim_{m \rightarrow \infty} \frac{1}{m} |\{n \leq m : \|u_{i_n} - P_V u_{i_n}\| > \epsilon\}| = 0$$

for every $i = 1, 2, 3, \dots$

Theorem 2.3. *Definition 2.1 and Definition 2.2 are equivalent.*

Proof. Let

$$\sup_{u_n \in U_n, \|u_n\|=1} \|u_n - P_V u_n\| < \epsilon$$

a.a. n. We can write

$$\|u_{i_n} - P_V u_{i_n}\| \leq \sup_{u_n \in U_n, \|u_n\|=1} \|u_n - P_V u_n\| < \epsilon$$

a.a. n for every $i = 1, 2, \dots, k$, $u_{i_n} \in U_n$ with $\|u_{i_n}\| = 1$.

Now, say $\sum_{i=1}^k |\gamma_i| = K$ and suppose that

$$\|u_{i_n} - P_V u_{i_n}\| < \frac{\epsilon}{K}$$

a.a. n for every $i = 1, 2, \dots, k$.

$$\begin{aligned}
 & \sup_{u_n \in U_n, \|u_n\|=1} \|u_n - P_V u_n\| \\
 = & \sup_{u_n \in U_n, \|u_n\|=1} \|(\gamma_1 u_{1_n} + \gamma_2 u_{2_n} + \dots + \gamma_k u_{k_n}) - P_V(\gamma_1 u_{1_n} + \gamma_2 u_{2_n} + \dots + \gamma_k u_{k_n})\| \\
 \leq & \sup_{u_n \in U_n, \|u_n\|=1} \sum_{i=1}^k \|\gamma_i u_{i_n} - P_V(\gamma_i u_{i_n})\| \\
 = & \sum_{i=1}^k |\gamma_i| \|u_{i_n} - P_V u_{i_n}\| < \epsilon
 \end{aligned}$$

a.a. n for every $i = 1, 2, \dots, k$. □

If (U_n) is convergent to V then (U_n) is statistically convergent to V , but the opposite may not be true.

Example 2.4. Let X be three-dimensional space with orthonormal basis vectors e_1, e_2, e_3 and

$$U_n = \begin{cases} \text{span}\{\sin ne_2 + \cos ne_3\}, & \text{if } n \text{ is square} \\ \text{span}\{e_1 + \frac{1}{n} \sin ne_2 + \frac{1}{n} \cos ne_3\}, & \text{otherwise.} \end{cases} \quad (2.1)$$

Then the sequence (U_n) is not convergent but statistically convergent to the subspace V , spanned by the vector e_1 .

Theorem 2.5. If $st - \lim U_n = V$ and $st - \lim U_n = S$ then $V = S$.

Proof. If possible let $U \neq S$. Choose $\epsilon = \frac{\|P_V u_n - P_S u_n\|}{2} > 0$. Then

$$\begin{aligned}
 & \frac{1}{m} \left| \left\{ n \leq m : \sup_{u_n \in U_n, \|u_n\|=1} \|P_V u_n - P_S u_n\| > \epsilon \right\} \right| \\
 \leq & \frac{1}{m} \left| \left\{ n \leq m : \sup_{u_n \in U_n, \|u_n\|=1} \|u_n - P_V u_n\| > \frac{\epsilon}{2} \right\} \right| \\
 + & \frac{1}{m} \left| \left\{ n \leq m : \sup_{u_n \in U_n, \|u_n\|=1} \|u_n - P_S u_n\| > \frac{\epsilon}{2} \right\} \right|
 \end{aligned}$$

which is impossible because the right side limit is equal to zero but not left side limit as $m \rightarrow \infty$. □

Definition 2.6. A sequence (U_n) of subspaces is said to be statistically Cauchy if for every $\epsilon > 0$ there exists a number $N(= N(\epsilon))$ such that

$$\lim_{m \rightarrow \infty} \frac{1}{m} \left| \left\{ n \leq m : \sup_{u_n \in U_n, \|u_n\|=1} \|u_n - P_{U_N} u_n\| > \epsilon \right\} \right| = 0$$

i.e.,

$$\sup_{u_n \in U_n, \|u_n\|=1} \|u_n - P_{U_N} u_n\| < \epsilon$$

a.a. n.

Another definition equivalent to this definition can be given as:

Definition 2.7. A sequence (U_n) of subspaces is said to be statistically Cauchy sequence if for every $\epsilon > 0$ there exists a number $N(= N(\epsilon))$ such that

$$\lim_{m \rightarrow \infty} \frac{1}{m} |\{n \leq m : \|u_{i_n} - P_{U_N} u_{i_n}\| > \epsilon\}| = 0$$

for every $i = 1, 2, 3, \dots$

Theorem 2.8. *If a sequence (U_n) of subspaces is statistically convergent, then (U_n) is a statistically Cauchy sequence.*

Proof. Suppose that $st - \lim(U_n) = V$ and $\epsilon > 0$. Then

$$\sup_{u_n \in U_n, \|u_n\|=1} \|u_n - P_V u_n\| < \frac{\epsilon}{2}$$

a.a. n, and if N is chosen such that

$$\sup_{u_n \in U_n, \|u_n\|=1} \|P_{U_N} u_n - P_V u_n\| < \frac{\epsilon}{2}$$

a.a. n. Then

$$\begin{aligned} & \sup_{u_n \in U_n, \|u_n\|=1} \|u_n - P_{U_N} u_n\| \\ & < \sup_{u_n \in U_n, \|u_n\|=1} \|u_n - P_V u_n\| + \sup_{u_n \in U_n, \|u_n\|=1} \|P_{U_N} u_n - P_V u_n\| \\ & < \frac{\epsilon}{2} + \frac{\epsilon}{2} = \epsilon \end{aligned}$$

a.a. n. Hence (U_n) is a statistically Cauchy sequence. $\square$

Theorem 2.9. *Let (U_n) and (L_n) be two sequences of subspaces. If (L_n) is a convergent sequence such that $U_n = L_n$ a.a. n, then (U_n) is statistically convergent.*

Proof. Suppose that $U_n = L_n$ a.a. n and $L_n \rightarrow V$. From the inclusion

$$\begin{aligned} & \{n \leq m : \sup_{u_n \in U_n, \|u_n\|=1} \|u_n - P_V u_n\| \geq \epsilon\} \\ & \subseteq \{n \leq m : \sup_{u_n \in U_n \neq L_n, \|u_n\|=1} \|u_n - P_V u_n\| \geq \epsilon\} \\ & \cup \{n \leq m : \sup_{\ell_n \in L_n, \|\ell_n\|=1} \|\ell_n - P_V \ell_n\| \geq \epsilon\}, \end{aligned}$$

for $\epsilon > 0$, we get

$$\begin{aligned} & \lim_{m \rightarrow \infty} |\{n \leq m : \sup_{u_n \in U_n, \|u_n\|=1} \|u_n - P_V u_n\| \geq \epsilon\}| \\ & \leq \lim_{m \rightarrow \infty} |\{n \leq m : \sup_{u_n \in U_n \neq L_n, \|u_n\|=1} \|u_n - P_V u_n\| \geq \epsilon\}| \\ & + \lim_{m \rightarrow \infty} |\{n \leq m : \sup_{\ell_n \in L_n, \|\ell_n\|=1} \|\ell_n - P_V \ell_n\| \geq \epsilon\}| = 0. \end{aligned}$$

Consequently we have $st - \lim U_n = V$. $\square$

Theorem 2.10. *$st - \lim U_n = V$ if and only if $st - \lim \sum_{j=1}^k \langle u_{i_n}, v_j \rangle^2 = 1$ for every $i = 1, 2, 3, \dots, k$.*

Proof. Since $\{v_1, v_2, \dots, v_k\}$ is orthonormal basis, with an easy calculation we get

$$\|u_{i_n} - P_V u_{i_n}\|^2 = 1 - \sum_{j=1}^k \langle u_{i_n}, v_j \rangle^2$$

for every $i = 1, 2, 3, \dots, k$ and hence

$$\begin{aligned} & \frac{1}{m} |\{n \leq m : \|u_{i_n} - P_V u_{i_n}\| > \epsilon\}| \\ &= \frac{1}{m} \left| \left\{ n \leq m : \left| 1 - \sum_{j=1}^k \langle u_{i_n}, v_j \rangle^2 \right| > \epsilon^2 \right\} \right|. \end{aligned}$$

So, we have if $st - \lim U_n = V$ if and only if $st - \lim \sum_{j=1}^k \langle u_{i_n}, v_j \rangle^2 = 1$ for every $i = 1, 2, 3, \dots, k$. $\square$

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